



2475 Coral Court Suite A
Coralville, IA 52241
888.300.4975
<https://rdafn.com>

Privacy Policy

2017

A Registered Investment Adviser Firm

IARD/CRD Number: 147309
SEC Number: 801-69651

HOW WE USE AND PROTECT PERSONAL INFORMATION

To RDA Financial Network, Inc., and its Broker/Dealer, Untied Planners Financial Services, protecting your privacy is very important. We value your trust and we want you to understand what information we collect, how we protect it, and how we use it. We treat personal information – nonpublic information that identifies you – with respect, and in accordance with this privacy policy.

INFORMATION WE MAY COLLECT

We may obtain information, much of which comes directly from you, to provide you with products and services you have requested and as we deem appropriate, to determine your eligibility for products and services. We may collect identification and contact information, as well as transaction and investment experience information from applications, transactions with others and us, and from outside companies.

EXAMPLES OF THE SOURCES AND TYPES OF INFORMATION WE MAY COLLECT INCLUDE:

- Information about the products and services you purchase through us, including copies or applications, registration forms, or other forms, containing your name, address, telephone numbers, social security numbers, email addresses, investment objectives, income, assets, employment information, and accounts with others;
- Information regarding your brokerage and/or investment advisory transaction history with us, our service providers, such as clearing firms, mutual fund companies, insurance companies, or other companies that provide you with financial products or services including your former broker/dealer or its registered representatives, your current registered representative and their affiliated business;
- Information that other companies who assist us in marketing our own products and services or products we offer jointly with other financial institutions may have, such as your name, address, and telephone number.
- Information under the USA Patriot Act enacted by the United States Treasury Department and Congress to combat terrorism (Investor notification is available upon request).

INFORMATION WE MAY DISCLOSE AND TO WHOM WE MAY DISCLOSE INFORMATION

Neither RDA Financial Network, Inc. nor United Planners Financial Services will disclose your personal information to companies or organizations not affiliated with us that would use the information we have provided them to contact you about their own products and services **except as outlined below**. We may disclose all the personal information we collect, as described above, to **our staff, affiliates, representatives, their affiliated businesses**, insurance companies, brokers, banks, Broker/Dealers and investment advisers that provide services to you on our behalf, in the following types of situations:

- Providing administrative, customer assistance, clearing, operational, and other services;
- Preparing, printing and delivering portfolio management performance reports, confirmation statements, and other documents;
- Executing securities transactions;
- Maintaining or developing software for us; and a financial institution (such as banks, investment advisers, or securities firms) with which we have joint marketing arrangements to jointly endorse or offer financial products or services. Neither RDA Financial Network, Inc. nor United Planners will sell your personal and confidential information to unaffiliated third parties.
- Third party asset managers with whom we have relationships for the management of customer accounts;
- State, Federal, and other regulatory and self-regulatory authorities as required by law or regulation;
- In certain situations, we may share your information with parties that are not affiliated with us, as described below:

We recognize that you have elected to open accounts with us due to the efforts of your Rep/Advisor. If he or she elects to leave our firm for another registered investment advisory firm, we may allow them to take your confidential information with them to the new firm, subject to the requirements or limitations of applicable law. Client information may also be shared with a non-RDAFN affiliated representative and their firm if a RDAFN Rep/Advisor has entered into an agreement to sell all or some portion of their securities, advisory or insurance business to the non-RDAFN affiliated representative.

DISCLOSURES PERMITTED BY LAW

We may also disclose all the information we collect as permitted or required by law. For example, we may disclose information to law enforcement agencies or insurance and securities regulatory agencies.

SECURING YOUR INFORMATION

Our staff, affiliates, representatives, and their staffs are required to follow our policies and procedures to keep your information secure, confidential, and prevent unauthorized use of your Protected Confidential Information. Our physical, electronic, and procedural safeguards have been reasonably designed to guard your protected confidential information.

INSTRUCTIONS ON HOW TO NOTIFY US

For any questions regarding this policy, please contact your Investment Advisor Representative or call the RDA Financial Network Managing Principal at 888.300.4975. Our home office is located at 2475 Coral Court, Suite A, Coralville, IA 52241.

IAR	Location	Phone	IAR	Location	Phone
John Arthur	Coralville, IA	319.626.3464	Michael LaSovage	Lima, OH	419.991.3333
Christopher Arthur	Coralville, IA	319.626.3464	Robert LaSovage	Lima, OH	419.991.3333
Lucas Bielfelt	Ames, IA	515.292.2020	Nate Lovik	Rochester, MN	319.325.7854
Greg Giles	Sioux City, IA	712.587.8070	Thomas McBride	W Des Moines, IA	515.224.1322
Michael Anne Brannen	West Chester, OH	513.844.2111	Susan Mims	Coralville, IA	319.621.1981
Donald Dahlstrom	Dayton, IA	515.230.4100	Jon Nizolek	Coralville, IA	319.626.3464
David Darner	Coralville, IA	319.351.7077	Ron Philgreen	Overland Park, KS, O'Fallon IL	618.632.7684
Dale Dobnicker	Lima, OH	419.991.3333	Duane Roth	Overland Park, KS, O'Fallon IL	618.632.7684
Chad Ericson	Akron, IA	712.568.3973	Richard Ryan	Waterloo, IA, Mason City, IA	319.242.1086
Michael Forbrook	Carol Stream, IL	630.319.0858	David Willeumier	Schaumburg, IL	630.924.8100
David Lane	Coralville, IA	850.209.0083	Richard Yantis	Cedar Falls, IA	319.504.2498